

**SAINT LOUIS UNIVERSITY
INTELLECTUAL PROPERTY DISCLOSURE FORM**

Submission Instructions: Please email your completed form to otm@slu.edu to ensure prompt processing.

I. Researcher Information		
Primary Researcher		
Full name:		
Country of Citizenship:		
Home Address:		
City, State, Zip:		
Telephone:		
E-mail Address:		
University College and Department (or Employer):		
Primary affiliation with University:	Tenured faculty Non-tenured, tenure-track faculty Adjunct faculty Research faculty	Graduate student Undergraduate student Staff Non-university employee (e.g., contractor, visiting professor, etc.)
Researcher 2		
Full name:		
Country of Citizenship:		
Home Address:		
City, State, Zip:		
Telephone:		
E-mail Address:		
University College and Department (or Employer):		
Primary affiliation with University:	Tenured faculty Non-tenured, tenure-track faculty Adjunct faculty Research faculty	Graduate student Undergraduate student Staff Non-university employee (e.g., contractor, visiting professor, etc.)

Researcher 3

Full name:		
Country of Citizenship:		
Home Address:		
City, State, Zip:		
Telephone:		
E-mail Address:		
University College and Department (or Employer):		
Primary affiliation with University:	Tenured faculty Non-tenured, tenure-track faculty Adjunct faculty Research faculty	Graduate student Undergraduate student Staff Non-university employee (e.g., contractor, visiting professor, etc.)

Researcher 4

Full name:		
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Primary affiliation with University:	Tenured faculty Non-tenured, tenure-track faculty Adjunct faculty Research faculty	Graduate student Undergraduate student Staff Non-university employee (e.g., contractor, visiting professor, etc.)

If there are additional researchers, please list them in the “Additional Information” section at the end of this form or attach additional pages.

II. Intellectual Property Information		
Intellectual Property Title:		
Indicate Intellectual Property Type	(Select all that apply)	Indicate Current Level of Development
Invention		TRL-1: The principles that underlie the technology have been identified.
Software		TRL-2: The application being considered has been outlined and analysis to support the concept has been provided.
Plant Variety		TRL-3: Laboratory tests have been performed to measure parameters of interest and compare to analytical predictions for biological functions critical subsystems.
Ornamental Design		TRL-4: System concepts have been considered and test results are available from <i>in vitro</i> experiment(s), laboratory-scale breadboard(s), or prototype(s).
Creative Work		TRL-5: Results available from <i>in vivo</i> experiment(s) or laboratory-tested breadboard system(s) or prototype(s) that have been integrated with other supporting elements in a simulated operational environment.
Other (Explain below):		TRL-6: Results available from phase 1 clinical trial or laboratory testing of a prototype system that is near the desired configuration in terms of performance, weight, and volume. TRL-7: Phase 2 clinical trial plan is prepared or results are available from testing a prototype system in an operational environment. TRL-8: Results available from phase 2 clinical trial or testing the system in its final configuration under the expected range of environmental conditions in which it will be expected to operate.
		TRL-9: The technology has begun phase 3 clinical trial or actually been applied in its final form and under mission conditions, such as those encountered in operational test and evaluation (OT&E). Other (Please explain below):

Non-Confidential Summary of Intellectual Property:

Keywords associated with Intellectual Property:

When and with whom was the Intellectual Property first discussed:

Confidential Description of Intellectual Property *(Please provide a full description of the Intellectual Property, including as much detail as possible (e.g., figures, starting materials, methods of preparation, operative and preferred ranges of any named parameters, any other foreseeable uses of the Intellectual Property). Please feel free to include attachments as appropriate (e.g., manuscripts, grant proposals, grant progress reports, etc.) If you include any attachments, please list them):*

Please describe how the problem is currently addressed:

Please describe how your invention or innovation is better than what is currently available:

Please describe what research and development needs to be done to progress the intellectual property through at least the next development stage (i.e., TRL listed under "Current Level of Development" above).

III. Additional Information for Software (Please complete this section only if the Intellectual Property is software):

Describe plans for any additional testing:

Programming Language Used:

Platform Used (ex: Mac, Windows etc.):

List the hardware and operating system requirements for the software:

	Yes/No	If yes, please explain:
Does a manual or any online help exist?	Yes No	
Can it be used with other software?	Yes No	
Is the software a component or function of a greater body of code?	Yes No	

IV. Key Dates

Event	Date	Comments (Provide details of any records that document the date.)
What was the date you conceived the idea for the Intellectual Property?		
What was the date you first conducted experimental work on the Intellectual Property?		
What was the date you first discussed the Intellectual Property in public (e.g., lecture, presentation, publication, etc.)?		
What was the date you considered the Intellectual Property complete (i.e., reduced to practice)?		

V. Disclosure Information			
Type	Yes/No/ Anticipated	Date (if “yes” or “anticipated”)	Title (attach copy if available) or Explanation
Submitted manuscripts? <i>(If yes, has it been accepted)</i>	Yes No Anticipated		
Published journal articles?	Yes No Anticipated		
Presented posters?	Yes No Anticipated		
Gave lectures?	Yes No Anticipated		
Submitted grant proposals?	Yes No Anticipated		
Posted online (including conferences and abstracts)?	Yes No Anticipated		
Sold, offered for sale, or used the intellectual property in public? <i>(If yes, is there a confidentiality agreement in place)?</i>	Yes No Anticipated		

VI. Funding Support				
Check this box if no funding was received for developing this Intellectual Property:				
Funding Type	Select all that apply	Acknowledgement Needed?	Grant/Funding Application Number	Name of Funding Organization
Federal				
State				
Contract				
Subcontract				
Industry Sponsored				
University Sponsored				
Other				

VII. Third Party Support (non-software Intellectual Property)		
Support Type	Yes/No	Comments
Materials obtained under Material Transfer Agreement (MTA)?	Yes No	
Equipment received?	Yes No	
Other?	Yes No	
VIII. Third Party Support for Software (Please complete only if Intellectual Property is software):		
Support Type	Yes/No	Comments
Is this software an improvement based upon existing code?	Yes No	
Does this software contain third-party code (e.g. subroutine, etc.)?	Yes No	
Did you license anything used in the development of this software? (If yes, please list name of code, copyright holder, license, etc.)	Yes No	
Other?	Yes No	

IX. Related Intellectual Property (Please list other intellectual properties of which you are aware that are related to the Intellectual Property you are disclosing.)		
Type: (Research Project, Patent, Product, etc.)	Name	Brief Description

X. Commercialization Information

How do you anticipate the Intellectual Property being used in industry?

Please list any companies and/or individuals you believe would be interested in licensing this Intellectual Property (include names, emails, and telephone numbers of specific individuals within each company who would influence the licensing decision).

How do you suggest we determine whether a third party is making, using, or selling the Intellectual Property without authorization?

	Primary Researcher	Researcher 2	Researcher 3	Researcher 4
How engaged can you be in the commercialization process?				<p>I have limited availability to participate in commercialization activities.</p> <p>I'm willing to actively assist with commercialization activities as needed.</p> <p>I'm interested in actively participating in the launch and management of a new business venture to commercialize this intellectual property.</p>

XI. Additional Commercialization Information for Software *(Please complete this section only if the Intellectual Property is software.)*

Anticipated distribution method:	Yes/No	Comments
Source Code	Yes No	
Object Code	Yes No	
Open Source Licensing and Publication (Please Specify BSP, GNU GPL, etc.)	Yes No	
Other (Please explain)	Yes No	

XII. Additional Information

Please provide any additional information you believe would help us to better evaluate your Intellectual Property.

Please email your completed form to otm@slu.edu to ensure prompt processing.